

PTO-1390 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEYS DOCKET NUMBER 097929 6041
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/539,878
INTERNATIONAL APPLICATION NO. PCT/JP03/15917	INTERNATIONAL FILING DATE December 12, 2003	PRIORITY DATE CLAIMED December 16, 2002
TITLE OF INVENTION "DIMMING DEVICE AND DRIVING METHOD THEREFOR, AND IMAGING DEVICE "		
APPLICANT(S) FOR DO/EO/US Toshiharu Yanagida		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date and the U.S. has been elected. 5. <input type="checkbox"/> A copy of International Application (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 34. <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)) (attached at back of English translation of application) 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)) - Forms 338 and 409. <p>Items 11. to 16. below concern other document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report). 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. <input type="checkbox"/> Submission of Drawings (26 sheets of drawings - Figs. 1-30) b. <input type="checkbox"/> EXPRESS MAIL c. <input checked="" type="checkbox"/> Forms 338 and 409 - English Translation f. <input type="checkbox"/> Form 2038 		

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10/539,878

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PCT/JP03/539,878

ATTORNEY'S DOCKET NUMBER
9792909-6041

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):

Neither international preliminary examination fee (37 CFR §1.482)
Nor international search fee (37 CFR §1.445(a)(2)) paid to USPTO
And International Search Report not prepared by the EPO or JPO \$1080.00

International preliminary examination fee (37 C.F.R. §1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$ 920.00

International Preliminary examination fee (37 CFR §1.482) not paid to USPTO
But international search fee (37 CFR §1.445(a)(2)) paid to USPTO \$ 770.00

International preliminary examination fee (37 C.F.R. §1.482) paid to USPTO
But all claims did not satisfy provisions of PCT Article 33(1)(4) \$ 730.00

International preliminary examination fee (37 CFR §1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)(4) \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from
the earliest claimed priority date (37 C.F.R. 1.492(e)).

Claims	Number Filed	Number Extra	Rate		
Total Claims		1	X \$ 18.00	\$	
Independent Claims			X \$ 86.00	\$	
Multiple Dependent Claims			\$280.00 +	\$	

TOTAL OF ABOVE CALCULATIONS =

☐ Applicant claims small entity status. See 37 CFR §1.27. The fees indicated above are reduced
by 1/2.

SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months
from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED =

Amount to be
refunded \$

charged \$

- a. ☐ A check in the amount of \$___ to cover the above fees is enclosed.
b. ☐ Please charge attorney's firm American Express Account in the amount of \$___ to cover the above fees. PTO
Form 2038 is enclosed for that purpose.
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be
filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

David R. Metzger

NAME

32,919

Registration Number

September 1, 2005

Date:

Certificate of Express Mailing (39 CFR 1.10)

I hereby certify that the foregoing PCT Form 338 and 409
are being deposited with the
United States Postal Service on the date
shown below with sufficient postage as
U.S. Express Mail in an envelope addressed to:

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



Name

9/1/05

Date